SUPERIOR COURT OF NEW JERSEY
LAW DIVISION: UNION COUNTY
CRIMINAL - 97-02-00123

STATE OF NEW JERSEY,

Stenographic Transcript

of

vs.

Trial Proceedings

MARVIN MATHIS,

Defendant,

Place: Union County Courthouse

2 Broad Street,

Elizabeth, New Jersey,

Date: JUNE 18, 1998.

BEFORE:

HON. JOHN F. MALONE, J.S.C., & JURY

TRANSCRIPT ORDERED BY:

OFFICE OF THE PUBLIC DEFENDER
Appellate Section

APPEARANCES:

WILLIAM KOLANO, ESQ. Assistant Prosecutor, Union County, For the State,

WALTER E. FLORCZAK, ESQ. (Florczak & Florczak) Attorney for the Defendant,

B. PETER SLUSAREK, C.S.R., XIOO291 Official Court Reporter Union County Courthouse Elizabeth, New Jersey, 07207

2 1 JUNE 18, 1998. Counsel, anything before the jury is 2 THE COURT: 3 brought out for the charge? MR. KOLANO: No, your Honor. 4 5 MR. FLORCZAK: No, judge. We had the in chambers discussion with 6 THE COURT: 7 juror number one regarding her recognition of Mr. Orr. 8 Mr. Kolano, something you had indicated you wanted to give some thought to, apparently. 9 MR. KOLANO: Your Honor, I concur with the Court's 10 11 judgment and Mr. Florczak that she is a perfectly appropriate 12 juror and should remain on the jury. MR. FLORCZAK: Judge, I am sorry. 13 I am hesitant now. 14 Since we have reached the point where we are ready to 15 eliminate two jurors, I would suggest that she be one of the jurors eliminated just because of the fact that she recognized 16 I don't know how that would affect her. 17 18 I would ask she be removed. 19 THE COURT: My thinking on it originally was that it 20 was not a problem. I am not even sure it's actually the same 21 She is talking about somebody, a name that she 22 recognized she associated with somebody sixteen years ago, she hasn't seen sixteen years. She thinks looking at him now that 23

And, in any event, she said rather firmly that even if

it was the same person, but she is not sure.

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it was the same person that she knew of sixteen years ago, it would have absolutely no impact on her ability to be fair and impartial.

As I said, I am not even sure it was the same person.

I am not sure she thinks it's the same person. The most we knows she knew a family with that name, and that Mr. Orr who testified here would have obviously been a rather young person sixteen years ago, a child, teenager perhaps.

So I am satisfied that juror number one can remain.

I am satisfied with her answer that it would have no impact on her. Okay.

Let's bring the jurors out. We will go ahead into the charge.

(Jury seated in the jury box in the courtroom.)
Good morning, ladies and gentlemen.

As you know, the evidence in this case has been presented, and the attorneys have completed their summations. We have, therefore, arrived at that time in the case where you as the jurors are about to perform your final function in this trial.

At the outset, let me express my thanks and appreciation to you for your attention to this case. And I would also like to commend the attorneys for the professional manner in which they presented their respective cases and for their courtesy to the court and the jury during the course of





the trial.

Before you retire to deliberate and reach your verdict, it is my obligation to instruct you as to the principles of law that apply in this case. You shall consider my instructions in their entirety and not pick out any particular instruction and overemphasize it.

You must accept and apply this law for this case as I give it to you in these instructions. Any ideas you have as to what the law is or what the law should be or any statements by the attorneys as to what the law may be must be disregarded by you if they are in conflict with my charge.

During the course of the trial I was required to make certain rulings on the admissibility of evidence, either in or outside of your presence. These rulings involved questions of law. Comments of the attorneys on these matters were not evidence. In ruling I have decided questions of law, and whatever the ruling may have been in any particular instance you should understand that it was not an expression or opinion by me on the merits of the case. Neither should my other rulings on any other aspect of the trial be taken as favoring one side or the other. Each matter was decided on its own merits.

When I use the term "evidence" I mean the testimony that you have heard and seen from the witness box and the exhibits that have been admitted into evidence.

Any testimony that I may have had occasion to strike is not evidence and shall not enter into your final deliberations. It must be disregarded by you. This means that even though you may remember the testimony, you are not to use it in your discussions or deliberations. Further, if I gave any limiting instructions as to how to use certain evidence, that evidence must be considered by you for that purpose only. You cannot use it for any other purpose.

As jurors it is your duty to weigh the evidence calmly and without passion, prejudice or sympathy. Any influence caused by these emotions has the potential to deprive both the state and the defendant of what you promised them, fair and impartial trial by fair and impartial jurors. Also, speculation, conjecture, and other forms of guessing play no role in the performance of your duty.

The defendant stands before you on an indictment returned by the grand jury charging him with murder, robbery, felony murder, possession of a firearm for an unlawful purpose, and unlawful possession of a weapon.

The indictment is not evidence of defendant's guilt of the charges. An indictment is a step in the procedure to bring the matter before the court and jury for the jury's ultimate determination as to whether the defendant is guilty or not guilty on the charges stated in it.

The defendant has pleaded not guilty to the charges.





The defendant on trial is presumed to be innocent, and unless each and every essential element of an offense charged is proved beyond a reasonable doubt, the defendant must be found not guilty of that charge. The burden of proving each element of the charge beyond a reasonable doubt rests upon the state. That burden never shifts to the defendant. The defendant in a criminal case has no obligation or duty to prove his innocence or to offer any proof relating to his innocence.

The prosecution must prove its case by more than a mere preponderance of the evidence, yet not necessarily to an absolute certainty. The state has the burden of proving the defendant guilty beyond a reasonable doubt.

Some of you may have served as jurors in civil cases where you were told that it is necessary to prove only that a fact is more likely true than not true. In criminal cases the state's proof must be more powerful than that; it must be beyond a reasonable doubt.

A reasonable doubt is an honest and reasonable uncertainty in your minds about the guilt of the defendant after you have given full and impartial consideration to all of the evidence. A reasonable doubt may arise from the evidence itself or from a lack of evidence. It is a doubt that a reasonable person hearing the same evidence would have. Proof beyond a reasonable doubt is proof, for example, that leaves you firmly convinced of the defendant's guilt.

In this world we know very few things with absolute certainty. In criminal cases the law does not require proof that overcomes every possible doubt. If based on your consideration of the evidence you are firmly convinced that the defendant is guilty of the crime charged you must find him guilty. If, on the other hand, you are not firmly convinced of defendant's guilt you must give the defendant the benefit of the doubt and find him not guilty.

In my preliminary instructions when we started the case I explained to you that you are the judges of the facts.

And as judges of the facts you are to determine the credibility of the various witnesses as well as the weight to be attached to their testimony. You and you alone are the sole and exclusive judges of the evidence, of the credibility of the witnesses, and the weight to be attached to the testimony of each witness.

Regardless of what counsel said or I may say recalling the evidence in this case, it is your recollection of the evidence that should guide you as judges of the facts.

Arguments, statements, remarks, openings, and summations of counsel are not evidence and must not be treated as evidence.

Although the attorneys may point out what they think important in this case, you must rely solely upon your understanding and recollection of the evidence that was admitted during the trial. Whether or not the defendant has been proven guilty







beyond a reasonable doubt is for you to determine based on all the evidence presented during the trial. Any comments by counsel are not controlling.

It is your sworn duty to arrive at a just conclusion after considering all the evidence which was presented during the course of the trial.

The function of the court is separate and distinct from the function of the jury. It is my responsibility to determine all questions of law rising during trial and to instruct the jury as to the law which applies in this case. You must accept the law as given to you by me and apply it to the facts as you find them to be.

I have sustained objections to some questions asked by counsel which may have contained statements of certain facts. The mere fact that an attorney asks a question and inserts facts or comments or opinions in that question in no way proves the existence of those facts. You will only consider such facts which in your judgment have been proven by the testimony of witnesses or from exhibits admitted into evidence by the court.

Evidence may be either direct or circumstantial.

Direct evidence means evidence that directly proves a fact without an inference and which in itself, if true, conclusively establishes that fact. On the other hand, circumstantial evidence means evidence that proves a fact from



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which an inference of the existence of another fact may be drawn.

And you will recall the example that I gave in the preliminary instructions about proving the snow fall during the night. Direct evidence would be the testimony of a person who saw the snow falling; circumstantial evidence being testimony of a person who provided other facts, about not having seen snow before going to bed but seeing snow upon arising, from which you could draw the inference as to when the snowfall took place.

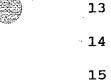
An inference is a deduction of fact that may logically and reasonably be drawn from another fact or group of facts established by the evidence. Whether or not inferences should be drawn is for you to decide using your own common sense, knowledge, and everyday experience. Ask yourself, is it probable, logical, and reasonable.

It is not necessary that all the facts be proven by direct evidence. They may be proven by direct evidence, circumstantial evidence, or by a combination of direct and circumstantial evidence. All are acceptable as a means of proof. In many cases circumstantial evidence may be more certain, satisfying, and persuasive than direct evidence. However, direct and circumstantial evidence should be scrutinized and evaluated carefully.

A verdict of guilty may be based on direct evidence









alone, circumstantial evidence alone, or a combination of direct evidence and circumstantial evidence, provided, of course, that it convinces you of the defendant's guilt beyond a reasonable doubt. The reverse is also true. A defendant may be found not guilty by reason of direct evidence, circumstantial evidence, a combination of the two, or a lack of evidence if it raises in your mind a reasonable doubt as to the

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As judges of the facts you are to determine the credibility of the witnesses, and in determining whether a witness is worthy of belief and, therefore, credible you may take into consideration the appearance and demeanor of the witness; the manner in which he or she may have testified; the witness' interest in the outcome of the trial, if any; his or her means of obtaining knowledge of the facts; the witness' power of discernment, meaning their judgment, understanding; his or her ability to reason, observe, recollect, and relate; the possible bias, if any, in favor of the side for whom the witness testified; the extent to which, if at all, each witness is either corroborated or contradicted, supported or discredited by other evidence; whether the witness testified with an intent to deceive you; the reasonableness or unreasonableness of the testimony the witness has given, and any and all other matters in the evidence which serve to support or discredit his or her testimony. Through this



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defendant's guilt.





analysis as judges of the facts you weigh the testimony of each witness and then determine the weight to give to it. Through that process you may accept all of it, a portion of it, or none of it.

Evidence including a witness' statement or testimony prior to the trial showing that at a prior time a witness has said something which is inconsistent with the witness' testimony at the trial may be considered by you for the purpose of judging the witness' credibility. It may also be considered by you as substantive evidence, that is as proof of the truth of what is stated in the prior contradictory statement.

Evidence has been presented showing that at a prior time witnesses have said something which is inconsistent with what the witnesses' testimony was at the trial. This evidence may be considered by you as substantive evidence or proof of the truth of the prior contradictory statement. However, before deciding whether the prior inconsistent statement reflects the truth in all fairness you will want to consider all of the circumstances under which the statement or failure to disclose occurred. You may consider the extent of the inconsistency and the importance or lack of importance of the inconsistency or omission on the overall testimony of the witness as bearing on his or her credibility. You may consider such factors as where and when the prior statement or omission occurred and the reasons, if any, therefor. The extent to



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which such inconsistency reflects the truth is for you to determine. Consider their materiality and relationship to the person's entire testimony and all the evidence in the case; when, where, and the circumstances under which they were said; and whether the reasons any person gave for the inconsistency appear to be to you believable and logical. In short, consider all that I have told you before about inconsistent statements. You will, of course, consider other evidence and inferences from other evidence including statements of other witnesses and acts of the witnesses and others disclosing other motives that the witness may have had to testify as he or she did, that is reasons other than that which he or she may have given to you.

Perhaps a hypothetical example will help you understand what constitutes a prior contradictory statement and, more importantly, how it may be used by you.

Assume at the trial a witness testified the car was red. In cross examination of that witness or at some other point in the trial it is shown that at an earlier time that witness said that the car was blue. You may consider the prior contradictory statement, that is the statement that the car was blue, as a factor in deciding whether or not you believe the statement made at trial that the car was red. You may also consider the earlier statement, that the car was blue, as proof of the fact or evidence that the car was blue.

There is for your consideration in this case written



and oral statements allegedly made by the defendant. It is your function to determine whether or not the statement was actually made by the defendant and, if made, whether the statement or any portion of it is credible.

With respect to statements of the defendant I am referring both to written and oral. Written statements are the formal statements that were referred to during the course of the trial, and I believe were exhibits marked for identification as S-2 and 4. Those are the statements that were taken at police headquarters as written statements. But there were also oral statements referred to, at least it is my recollection of the testimony -- remember, it is your recollection that is controlling of other statements made by the defendant, alleged to have been made by the defendant -- statements alleged to have been made to Miss Brooks, Miss Diggs, and the oral interview given to Officer Koczur at police headquarters.

In considering whether or not an oral statement was actually made by the defendant and, if made, whether it is credible you should receive, weigh, and consider this evidence with caution, based on the generally recognized risk of misunderstanding by the hearer or the ability of the hearer to recall accurately the words used by the defendant. The specific words used and the ability to remember them are important to the correct understanding of any oral



communication, because the presence or absence or change of a single word may substantially change the true meaning of even the shortest sentence. You should therefore receive, weigh, and consider such evidence with caution.

In considering whether or not a statement is credible you should take into consideration the circumstances and facts as to how the statement was made as well as all other evidence in this case relating to this issue.

Also recall the testimony of Detective Koczur with respect to advising the defendant of his constitutional rights. It is my recollection of that testimony that Detective Koczur indicated that he did provide the defendant with his rights, and that a written form was used which was read to the defendant, and the defendant was asked to initial and sign that form.

If after consideration of all these factors you determine that the statement was not actually made, or that the statement is not credible, then you must disregard the statement completely. If you find that the statement was made, and that part or all of the statement is credible, you may give what weight you think appropriate to the portion of the statement you find to be truthful and credible.

With respect, by the way, to the statement that I referred to of Detective Koczur, don't forget also to consider the testimony presented by the defense with respect to the

presentation of Miranda rights and the waiver of those rights.

That was the testimony of the defendant himself and of his mother that touched upon the subject of their knowledge and understanding of the rights that were presented.

Evidence of good character or reputation of an accused is always competent in the trial of a criminal action and is entitled to be considered by you. You, the jury, should consider all of the relevant testimony including that relating to the defendant's good character and reputation. And if on such consideration there exists a reasonable doubt of his guilt, even though that doubt may arise merely from his previous good repute, he is entitled to an acquittal. But if from the entire evidence in this case, including that relating to good character, you believe the defendant guilty beyond a reasonable doubt, he should be convicted and evidence of good character should not alter the verdict.

A general rule of evidence is that witnesses can testify only as to facts known by them. This rule ordinarily does not permit the opinion of a witness to be received as evidence. However, an exception to this rule exists in the case of an expert witness who may give his or her opinion as to any matter in which he or she is versed which is material to the case. In legal terminology, an expert witness is a witness who has some special knowledge, skill, experience, or training that is not possessed by the ordinary juror and who thus may be







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able to provide assistance to the jury in its fact finding duties.

In this case Doctor Graciela Linares was called as an expert and testified.

You are not bound by such expert's opinion, but you should consider the opinion and give it the weight to which you deem it entitled, whether that be great or slight, or you may reject it. In examining that opinion you may consider the reasons given for it, if any, and you may also consider the qualifications and credibility of the expert. It is always within the special function of the jury to decide whether the facts on which the answer of an expert is based actually exist and the value or weight of the opinion of the expert is dependent upon and no stronger than the facts on which it is predicated.

There are five offenses charged in the indictment.

They are separate offenses by separate counts of the indictment. The defendant is entitled to have his guilt or innocence separately considered on each count by the evidence which is relevant and material to that particular charge based on the law as I will give it to you.

With respect to the offenses that are charged in the indictment against the defendant in this case, the state alleges in its case that the defendant is the principal, that is the one who actually committed the crime. In the course of

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your consideration of the offenses with which the defendant is charged if you determine that the defendant did not act as the principal with respect to a crime that you are to consider in accordance with my instructions, the state alternatively charges that the defendant acted as an accomplice to that crime. And that is going to be relevant when we talk about the crimes of murder and the crime of robbery.

I am going to explain the elements of the offenses, the legal definitions that apply with respect to the offenses charged in the indictment on the basis initially as if the defendant was the principal, that is the person who actually committed the offense. But there is the concept of accomplice liability, that is someone who aided or assisted another in the commission of the crime. And I will be talking to you when I finish going through the five separate charges in the indictment about the concept of accomplice liability. So what I am doing now really is just highlighting or alerting you to the fact that there is this concept of accomplice liability which you will be hearing about later in the charge. I will be talking about what is meant by accomplice liability. now I am going to charge the elements of the offense, the definitions that apply to the offense, and then later I will be discussing with you the concept of accomplice liability.

The defendant is charged by the indictment in count one with the murder of Antonio Saraiva.



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Count one of the indictment reads as follows: That on the 22nd day of January, 1996 in the City of Elizabeth the defendant, Marvin Mathis, did purposely and or knowingly cause serious bodily injury to Antonio Saraiva resulting in his death, and or did purposely and or knowingly cause the death of Antonio Saraiva.

A person is guilty of murder if he purposely causes the death or serious bodily injury resulting in death, or knowingly causes death or serious bodily injury resulting in death. In order for you to find the defendant guilty of murder the state is required to prove each of the following elements beyond a reasonable doubt:

First, that the defendant caused Antonio Saraiva's death or serious bodily injury resulting in his death; and, two, that the defendant did so purposely or knowingly.

One of the elements that the state must prove beyond a reasonable doubt is that the defendant acted purposely or knowingly. A person who causes another's death does so purposely when it is the person's conscious object to cause death or serious bodily injury resulting in death. A person who causes another's death does so knowingly when the person is aware that it is practically certain that his conduct will cause death or serious bodily injury resulting in death.

The nature of the purpose or knowledge with which the defendant acted towards Antonio Saraiva is a question of fact

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for you, the jury, to decide. Purpose and knowledge are conditions of the mind which cannot be seen and can only be determined by inferences from conduct, words, or acts. not necessary for the state to produce a witness or witnesses who could testify that the defendant stated, for example, that his purpose was to cause death or serious bodily injury resulting in death, or he knew that his conduct would cause death or serious bodily injury resulting in death. within your power to find that proof of purpose or knowledge has been furnished beyond a reasonable doubt by inferences which may arise from the nature of the acts and the surrounding circumstances. Such things as the place where the acts occurred, the weapon used, the location, number and nature of wounds inflicted, and all that was done or said by the defendant preceding, connected with, and immediately succeeding the events leading to the death of Antonio Saraiva are among the circumstances to be considered.

although the state must prove that the defendant acted either purposely or knowingly, the state is not required to prove a motive. If the state has proved the essential elements of the offense beyond a reasonable doubt, the defendant must be found guilty of that offense regardless of the defendant's motive or lack of a motive. If the state, however, has proved a motive you may consider that insofar as it gives meaning to other circumstances. On the other hand, you may consider the



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absence of a motive in weighing whether or not the defendant is quilty of the crime charged.

A homicide or a killing with a deadly weapon, such as a handgun, in itself would permit you to draw an inference that the defendant's purpose was to take life or cause serious bodily injury resulting in death.

A deadly weapon is any firearm or other weapon, device, instrument, material, or substance which in the manner it is used or is intended to be used is known to be capable of producing death or serious bodily injury. In your deliberations you may consider the weapon used and the manner and circumstances of the killing, and if you are satisfied beyond a reasonable doubt that the defendant shot and killed Antonio Saraiva with a gun, you may draw an inference from the weapon used, that is the gun, and from the manner and circumstances of the killing as to defendant's purpose or knowledge.

The other element that the state must prove beyond a reasonable doubt is that the defendant caused Antonio Saraiva's death or serious bodily injury resulting in death. Serious bodily injury means bodily injury which creates a substantial risk of death or which causes serious permanent disfigurement or protracted loss or impairment of the function of any bodily member or organ. Whether the killing is committed purposely or knowingly causing death or serious bodily injury resulting in





death must be within the design or contemplation of the defendant.

If you determine that the state has proven beyond a reasonable doubt that the defendant purposely or knowingly caused death or serious bodily injury resulting in death you must find the defendant guilty of murder. If, on the other hand, you determine that the state has not proven beyond a reasonable doubt that the defendant purposely or knowingly caused death or serious bodily injury resulting in death, then you must find him not guilty of murder and go on to consider whether the defendant should be convicted of the crimes of aggravated or reckless manslaughter.

A person is guilty of aggravated manslaughter if he recklessly caused the death of another person under circumstances manifesting extreme indifference to human life.

In order for you to find the defendant guilty of aggravated manslaughter the state is required to prove each of the following elements beyond a reasonable doubt:

First, that the defendant caused Antonio Saraiva's death;

Second, that the defendant did so recklessly;

Third, that the defendant did so under circumstances
manifesting extreme indifference to human life.

One element that the state must prove beyond a reasonable doubt is that the defendant acted recklessly.

A person who causes another's death does so recklessly when he is aware of and consciously disregards a substantial and unjustifiable risk that death will result from his conduct. The risk must be of such a nature and degree that considering the nature and purpose of defendant's conduct and the circumstances known to the defendant his disregard of that risk is a gross deviation from the standard of conduct that a person, reasonable person would follow in the same situation. In other words, you must find that the defendant was aware of and consciously disregarded the risk of causing death.

If you find that the defendant was aware of and disregarded the risk of causing death you must determine whether the risk that he disregarded was substantial and unjustifiable. In doing so, you must consider the nature and purpose of defendant's conduct and the circumstances known to defendant, and you must determine whether in light of those factors defendant's disregard of that risk was a gross deviation from the conduct a reasonable person would have observed in defendant's situation.

Another element that the state must prove beyond a reasonable doubt is that the defendant acted under circumstances manifesting extreme indifference to human life.

The phrase "under circumstances manifesting extreme indifference to human life" does not focus on the defendant's state of mind, but rather on the circumstances under which you



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find he acted. If in light of all the evidence you find the defendant's conduct resulted in a probability, as opposed to a mere possibility, of death, then you may find that he acted under circumstances manifesting extreme indifference to human life. On the other hand, if you find that his conduct resulted in only a possibility of death, then you must acquit him of aggravated manslaughter and consider the offense of reckless manslaughter — which I will explain to you shortly.

The final element that the state must prove beyond a reasonable doubt is that the defendant caused Antonio Saraiva's death. You must find that Antonio Saraiva would not have died but for defendant's conduct.

If after consideration of all the evidence you are convinced beyond a reasonable doubt that the defendant recklessly caused Antonio Saraiva's death under circumstances manifesting extreme indifference to human life, then your verdict should be guilty of aggravated manslaughter.

If, however, after consideration of all the evidence you are not convinced beyond a reasonable doubt that the defendant recklessly caused Mr. Saraiva's death under circumstances manifesting extreme indifference to human life, you must find the defendant not guilty of aggravated manslaughter and go on to consider whether the defendant should be convicted of reckless manslaughter.

A person is guilty of reckless manslaughter if he



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recklessly causes the death of another person. In order for you to find the defendant guilty of reckless manslaughter the state is required to prove each of the following elements beyond a reasonable doubt: First, that the defendant caused Antonio Saraiva's death, and, second, that the defendant did so recklessly.

One element that the state must prove beyond a reasonable doubt is the defendant acted recklessly.

A person who causes another's death does so recklessly when he is aware of and consciously disregards a substantial and unjustifiable risk that death will result from his conduct. The risk must be of such a nature and degree that considering the nature and purpose of defendant's conduct and the circumstances known to defendant his disregard of that risk is a gross deviation from the standard of conduct that a reasonable person would follow in the same situation. In other words, you must find that the defendant was aware of and consciously disregarded the risk of causing death.

If you find the defendant was aware of and disregarded the risk of causing death, you must determine whether that risk he disregarded was substantial and unjustifiable. In doing so you must consider the nature and purpose of defendant's conduct and the circumstances known to defendant, and you must determine whether in light of those factors defendant's disregard of that risk was a gross deviation from conduct a







reasonable person would have observed in defendant's situation

The other element that state must prove beyond a reasonable doubt is that the defendant caused Antonio Saraiva's death. You must find that Antonio Saraiva would not have died but for defendant's conduct.

If after consideration of all the evidence you are convinced beyond a reasonable doubt that the defendant recklessly caused Mr. Saraiva's death, then your verdict should be guilty of reckless manslaughter. If, however, after consideration of all the evidence you are not convinced beyond a reasonable doubt that the defendant recklessly caused Antonio Saraiva's death, you must find the defendant not guilty of reckless manslaughter.

The defendant is charged in the second count of the indictment with robbery. The indictment reads in pertinent part as follows: That on January 22nd, 1996, in the City of Elizabeth, the defendant, Marvin Mathis, did while in the course of committing a theft, knowingly threaten immediate bodily injury to Antonio Saraiva and or purposely put Antonio Saraiva in fear of immediate bodily injury, and or did commit the crime of first degree, specifically, murder, and or did purposely inflict serious bodily injury upon Antonio Saraiva, and or was armed with and or used or threatened the immediate use of a deadly weapon.

That's the language of the indictment which charges

the defendant with the specific crime of robbery.

Let me again just give you sort of a highlight or a sort of advance notice as to how this charge is laid out and what you will be hearing.

The charge in the indictment is robbery of the first degree. First what you have to consider is whether or not the defendant is guilty, that is whether the facts satisfy you beyond a reasonable doubt that the defendant was guilty of the offense of robbery. And I will be explaining to you what robbery is. But, in short, it is that while in the course of committing a theft the defendant engaged in some other conduct: the knowing infliction of bodily injury, use of force on another, or the threatening of another with or purposely putting him in fear of immediate bodily injury, or commission of the crime of first degree, namely, in this case murder. So robbery requires a finding by you that the defendant was in the course of committing a theft, and that one of those other three elements exist.

If you are satisfied that the defendant has been guilty of robbery, you then consider two additional elements, which I will explain to you, either of which could make that robbery a first degree robbery.

So the way this charge, this definition is laid out to you or this instruction is laid out to you, explains to you first what a robbery is, and then goes on to explain the





elements which make the robbery a first degree robbery.

Now, let me begin by referring to the statute upon which the robbery charge is based. The pertinent part of the statute upon which the indictment is based reads as follows:

A person is guilty of robbery if in the course of committing a theft he either knowingly inflicts bodily injury or uses force on another, or threatens another with or purposely puts him in fear of immediate bodily injury, or commits or threatens immediately to commit any crime of first or second degree.

The state alleges in this case that the defendant is guilty of robbery by having done all of those three things.

However, in order for you to find the defendant guilty of robbery you must be satisfied that while in the course of committing a theft he did at least one of those things, they are, in the alternative, any one of those three if found by you beyond a reasonable doubt to have occurred will support the crime of robbery.

In order for you to find the defendant guilty of robbery the state is required to prove each of the following elements beyond a reasonable doubt: First, that the defendant was in the course of committing a theft; That while in the course of committing that theft the defendant knowingly inflicted bodily injury or used force on another, or he threatened another with or purposely put him in fear of





immediate bodily injury, or he committed or threatened immediately to commit the crime of murder.

As I have said, the state must prove beyond a reasonable doubt that the defendant was in the course of committing a theft. In this connection you are advised that an act is considered to be in the course of committing a theft if it occurs in an attempt to commit the theft, during the commission of the theft itself, or in immediate flight after the attempt or commission. Theft is defined as the unlawful taking or exercise of unlawful control over property of another with purpose to deprive him thereof.

I have used the phrase with "purpose," and you have heard me use that phrase before, and I will in all likelihood use it again. I shall now explain what that means.

A person acts purposely with respect to the nature of his conduct or a result thereof if it is his conscious object to engage in conduct of that nature or to cause such a result. In addition to proving beyond a reasonable doubt that the defendant was in the course of committing a theft the state must also prove beyond a reasonable doubt that while in the course of committing the theft the defendant did one of those other additional things that I referred to: The first of which, the defendant knowingly inflicted bodily injury or used force upon another.

A person acts knowingly with respect to a result of



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his conduct if he is aware that it is practically certain that his conduct will cause such a result. A person acts knowingly with respect to the nature of his conduct if he is aware that his conduct is of that nature.

The phrase "bodily injury" means physical pain, illness, or any impairment of physical condition.

Force means an amount of physical power or strength used against the victim and not simply against the victim's property. The force need not entail pain or bodily harm, it need not leave any mark. Nevertheless, force must be greater than that necessary merely to snatch an object from the victim's grasp or the victim's person, and the force must be directed against the victim, not merely the victim's property.

Second of those three elements that I referred to that would make the crime the crime of robbery was that during the course of the commission of the theft the defendant threatened another with or purposely put him in fear of immediate bodily injury.

The phrase "bodily injury" means physical pain, illness, or any impairment of physical condition. Although no bodily injury need have resulted, the prosecution must prove that the defendant either threatened the victim with or purposely put him in fear of such bodily injury.

The third possibility connected with the theft is that the defendant committed or threatened immediately to commit the







crime of murder while in the course of committing the theft.

A section of our statute provides that robbery is a crime of the second degree, except that it is a crime of the first degree if the robber is either armed with or uses or threatens immediate use of a weapon, or if the robber purposely inflicted serious bodily injury.

In this case both of those elements are alleged.

In order for you to find the defendant guilty of the first degree robbery you must find beyond a reasonable doubt that the state has proven at least one of those elements. In this case it is alleged that the defendant was armed with, used, or threatened immediate use of a deadly weapon while in the course of committing the robbery.

In order for you to find -- In order for you to determine the answer to this question you must understand the meaning of the term "deadly weapon." A deadly weapon is any firearm or other weapon, device, instrument, material or substance which in the manner it is used or intended to be used is known to be capable of producing death or serious bodily injury, or which in the manner it is fashioned would lead the victim reasonably to believe it to be capable of producing death or serious bodily injury.

Serious bodily injury means bodily injury which creates a substantial risk of death or which causes serious permanent disfigurement or protracted loss or impairment of the





function of any bodily member or organ.

To summarize, if you find that the state has not proven beyond a reasonable doubt any element of the crime of robbery as I have defined that crime to you, then you must find the defendant not guilty. If you find that the state has proven beyond a reasonable doubt that the defendant committed the crime of robbery as I have defined that crime to you, but you have a reasonable doubt as to whether defendant was armed with or used or threatened the immediate use of a deadly weapon at the time of the commission of the robbery, you would be finding the defendant guilty of robbery in the second degree. If you find beyond a reasonable doubt that the defendant committed the crime of robbery and was armed with a deadly weapon or used or threatened the immediate use of a deadly weapon at the time of the commission of the robbery, then you will find the defendant guilty of robbery in the first degree.

Now, if you have found that the defendant did not, the state did not prove beyond a reasonable doubt that the defendant was armed with or used or threatened immediate use of a deadly weapon, you must then go on to consider whether the defendant attempted to kill the victim or purposely inflict or attempt to inflict serious bodily injury upon the victim as charged in the indictment.

A section of statute provides that the robbery is a crime of second degree, except that it is a crime of the first







degree if the robber purposely inflicted or attempted to inflict serious bodily injury.

The defendant in this case -- It is alleged that the defendant did purposely inflict or attempt to inflict serious bodily injury upon Antonio Saraiva while in the course of committing the theft.

In order for you to determine the answer to this question you must understand the meaning of the term "serious bodily injury." It means bodily injury which creates a substantial risk of death or which causes serious permanent disfigurement or protracted loss or impairment of the function of any bodily member or organ.

In order for you to determine the answer to this question you must understand the meaning of the word "attempt" within this context.

A person is guilty of an attempt if he purposely commits an act which constitutes substantial step towards the commission of the infliction of serious bodily harm.

If you find that the state has not proven beyond a reasonable doubt each element of the crime of robbery as I have defined that crime, then you would find the defendant not guilty. If you find that the state has proven beyond a reasonable doubt that the defendant committed the crime of robbery as I have defined that crime to you, but you have a reasonable doubt as to whether the defendant purposely

inflicted or attempted to inflict serious bodily injury upon Mr. Saraiva at the time of the commission of the robbery, then you will find the defendant guilty of robbery in the second degree. If you find beyond a reasonable doubt that the defendant while in the course of committing the theft purposely inflicted or attempted to inflict serious bodily injury upon Mr. Saraiva then you will find the defendant guilty of robbery

The third count of the indictment is the count that charges the defendant with the offense of felony murder. With respect to this charge, the indictment reads as follows:

That the defendant on January 22nd, 1996, in the City of Elizabeth, did cause the death of Antonio Saraiva, acting either alone or with one or more persons, during the commission of or attempted commission of or flight after the commission of the crime of robbery.

Now, with respect to this count of the indictment the state contends that on that date, January 22nd, 1996, while the defendant was engaged in the commission of the crime of robbery which is count two of the indictment -- that the defendant shot and killed Antonio Saraiva.

The section of the statute applicable to this case reads in pertinent part as follows:

Criminal homicide constitutes murder when it is committed when the actor is engaged in the commission of or



in the first degree.



than one of the participants.

attempt to commit or flight after committing or attempting to commit robbery, and in the course of such crime or the immediate flight therefrom causes the death of a person other

Now what I am going to do is go on to describe for you, instruct you with respect to the law that applies to this crime, the crime of felony murder. And you will note that, as I have already indicated to you, the indictment charges that the defendant was the person who shot and killed Antonio Saraiva during, during the commission of the robbery. And that is the general basis of the offense of felony murder.

It is the state's contention in the indictment that the defendant, Marvin Mathis, was the person who committed the murder, actually did the shooting, resulting in the death of Antonio Saraiva.

But there is an alternate theory that the state has presented with respect to this charge, and that is that the defendant may be found guilty of the offense of felony murder even if he was not actually the person who committed the murder by shooting and killing Antonio Saraiva.

So first I am going to explain the concept of felony murder from the perspective that it was the defendant who was the person who shot and killed Antonio Saraiva during the commission of the robbery. Then I will discuss with you felony murder as it applies to the circumstances where the defendant







participated in the robbery of Antonio Saraiva and that Antonio Saraiva was shot and killed during that robbery but it was not the defendant himself who was the person who was responsible for doing the shooting.

So felony murder can be viewed from both of those perspectives.

Keep in mind at all times while I am talking about this I am talking about what the charges are. It is for you to determine whether the state has proven the facts beyond a reasonable doubt to substantiate these charges. I am talking about what the charge is, what it is the state is contending through the course of this trial. It is, unquestionably, your responsibility to make the determination as to whether the facts presented by the evidence in this case support the conclusion beyond a reasonable doubt that the state has proven the elements necessary to substantiate its contentions with respect to these crimes. And I don't want you to lose sight of that particular fact. I am talking about the elements of the offense that the state is required to prove and the contentions that the state is making.

So remember now we are talking about, I am going to be talking about felony murder, I am going to be talking about from this perspective, from the perspective that the defendant was the person who during the course of the robbery shot and killed Antonio Saraiva. Okay.

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And, once again, the statute on which that is based reads as follows:

Criminal homicide constitutes murder when it is committed when the actor is engaged in the commission of or attempt to commit or flight after committing or attempting to commit robbery, and in the course of such crime or the immediate flight therefrom causes the death of a person other than one of the participants.

Generally it does not matter that the act which caused death was committed recklessly or unintentionally or accidentally. The perpetrator is guilty of felony murder as he would be if he had purposely or knowingly committed the act which caused death.

In order for you to find the defendant guilty of felony murder the state is required to prove beyond a reasonable doubt from all of the evidence in the case all of the essential elements of the crime charged.

Accordingly, before you can find the defendant guilty of felony murder the state must prove beyond a reasonable doubt that on or about January 22nd, 1996, the defendant was engaged in the commission of the crime of robbery as charged in the second count in the indictment; second, that the death of Antonio Saraiva was caused by the defendant; third, that the death of Antonio Saraiva was caused at some time within the course of the commission of that crime including its aftermath

of flight and concealment efforts.

The first element requires the state to prove beyond a reasonable doubt that the defendant was engaged in the commission of or attempt to commit or flight after committing or attempting to commit the crime of robbery. I have already defined the elements of that crime, the crime of robbery for you, which the defendant is accused of having engaged in committing in my instructions concerning count two. You cannot find the defendant guilty of felony murder unless you first find him guilty beyond a reasonable doubt of having committed or attempting to commit the crime charged in count two, that is having committed the robbery. The second and third elements require the state to establish that the victim's death was caused by the defendant and was caused during the commission of or attempt to commit or flight after committing the crime of robbery.

In order to meet its burden of proof as to the second and third elements the state must prove beyond a reasonable doubt the following: That but for defendant's conduct in the commission or the attempt to commit or flight after committing the crime of robbery the victim would not have died. In other words, that the victim's death would not have occurred without the commission of the robbery. Second, that the victim's death was a probable consequence of the commission of or attempt to commit, or flight after committing, or attempting to commit,



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In order for the death to be a probable consequence of the crime of robbery the death must not have been too remote or too accidental in its occurrence or too dependent upon volitional acts to have a just baring on the defendant's liability or the gravity of his offense. In other words, you must decide if the state has proven beyond a reasonable doubt that under all the circumstances the death did not occur in such an unexpected or unusual manner that it would be unjust to find the defendant responsible for the death.

In conclusion, if you find after consideration of all the evidence that the state has proven to your satisfaction beyond a reasonable doubt each of these elements as I have just explained them, one, that the defendant was engaged in the commission of or attempt to commit or flight after committing or attempting to commit robbery as charged in count two of the indictment; two, that the death of Antonio Saraiva was caused by the defendant; three, that the death of that person was caused at some time within the course of the commission of that crime, including its aftermath of flight and concealment efforts, then you must find the defendant guilty of felony murder.

On the other hand, if you find that the state has failed to prove to your satisfaction beyond a reasonable doubt any one or more of these elements, then you must find the





defendant not guilty of felony murder.

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If the state has failed to prove beyond a reasonable

doubt that the defendant caused the death of the victim, then the defendant should be found not quilty of all charged homicide offenses, that is the defendant is not guilty of If, however, you find that the defendant, beyond a reasonable doubt, did cause the death of the victim, but the state has failed to prove the defendant was engaged in the course of the commission of or attempt to commit or the flight after committing or attempting to commit the robbery, you would nevertheless still consider whether the defendant did cause the death of the victim as charged in count one, which is the murder charge.

Basically what I am saying, this is a felony murder: Felony murder has a predicate crime, that is you must determine that the defendant committed the crime of robbery in order to consider whether the defendant committed the crime of felony murder. There has to be a felony, a robbery, before you consider felony murder.

If you find that the defendant was not guilty of the crime of robbery, then, of course, you cannot find the defendant quilty of the crime of felony murder.

That does not affect your consideration, though, of count one of the indictment, which is consideration of the crime of murder. You must still, even if you were to find the

defendant not guilty of the felony murder because he didn't commit the felony, the robbery, you must still consider whether he committed the murder. And remember, that's count one of the indictment. You still must consider count one of the indictment. But if you found in, if you found that the defendant did not commit the crime of murder at all, you are not convinced, you do not believe the evidence substantiates a determination on your part beyond a reasonable doubt that he committed the crime of murder, then he didn't commit the crime of murder as charged in count one, he would also not have committed the crime of murder as it relates to felony murder. All right.

So that remember, however, that you must take into consideration all, all of the charges that I explained to you with respect to felony murder, all of the elements of that offense, and what is required to be proven with respect to the commission of a felony murder. And consider that as a separate crime. I am just simply pointing out to you that felony murder has a predicate, the robbery. You can't have felony murder without the robbery. And if you found that the defendant was not guilty of the robbery, then there would be no basis on which to find a felony murder, because you would not have found, then, that the felony, robbery, occurred.

Now I am going to get to the other theory that the state has with respect to the felony murder. The first



instruction that I gave you with respect to felony murder is that the defendant was the person who shot and killed Antonio Saraiva during the commission of the robbery. I said that there is an alternative theory, and that is that Antonio Saraiva was shot and killed while the defendant alone or with one or more persons was engaged in the commission of the robbery as charged in count two of the indictment.

The section of the statute applicable to this case reads in pertinent part as follows:

Criminal homicide constitutes murder when it is committed when the actor either acting alone or with one or more other persons is engaged in the commission of or attempt to commit or flight after committing or attempting to commit robbery, and in the course of such crime or the immediate flight therefrom any person causes the death of a person other than one of the participants.

Under this law it does not matter that the act which caused the death was committed by a participant in the crime of robbery other than defendant, or even by someone other than a participant. Nor does it generally matter that the act which caused death was committed recklessly or unintentionally or accidentally. Each participant in the crime of robbery, whether the participant himself caused the death or not, would be guilty of felony murder.

In order for you to find the defendant guilty of



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felony murder in this case the state is required to prove beyond a reasonable doubt from all the evidence in the case each of the following elements of the offense charged: That on or about January 22nd, 1996, the defendant was engaged in the commission of robbery as charged in the second count; that the death of Antonio Saraiva was caused at sometime within the course of the commission of that crime, including its aftermath of flight and concealment efforts.

The first element requires the state to prove beyond a reasonable doubt that the defendant was engaged in the commission of or attempt to commit or flight after committing or attempting to commit the crime of robbery. I have already defined the elements of that crime, robbery, which the defendant is accused of having engaged in committing in my instructions on count two.

You cannot find the defendant guilty of felony murder unless you first find him guilty beyond a reasonable doubt of having committed the crime charged in count two, that is the robbery.

The second element requires the state to establish that the victim's death was caused during the commission of or attempt to commit or flight after committing or attempting to commit the crime of robbery. In order to meet its burden of proof in this regard the state must prove beyond a reasonable doubt the following: That but for defendant's conducted or the



conduct of one or more others with whom the defendant participated in the commission of or attempt to commit or flight after committing or attempting to commit the robbery the victim would not have died. In other words, that the victim's death would not have occurred without the commission of the robbery. Second, that the victim's death was a probable consequence of the commission of or attempt to commit or flight after committing or attempting to commit the robbery.

In order for the death to be a probable consequence of the robbery the death must not have been too remote or too accidental in its occurrence or too dependent on another's volitional acts to have a just baring on the defendant's liability or the gravity of his offense. In other words, you must decide if the state has proven beyond a reasonable doubt that under all the circumstances the death did not occur in such an unexpected or unusual manner that it would be unjust to find the defendant responsible for the death.

If you find after a consideration of all the evidence that the state has proven to your satisfaction beyond a reasonable doubt each of these elements of the offense charged as I have just explained them to you, that is that the defendant was engaged in the commission of or attempt to commit or flight after committing or attempting to commit a robbery as charged in count two, that the death of Antonio Saraiva was caused at some time within the course of the commission of that



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crime, including it's aftermath of flight and concealment, then you must find the defendant guilty of felony murder. On the other hand, if you find that the state has failed to prove to your satisfaction beyond a reasonable doubt any one or more of these elements of the crime charged as I have explained them, then you must find the defendant not guilty of felony murder.

The fourth count of the indictment charges the defendant with the unlawful possession -- (pause) Let me start that over.

The fourth count of the indictment charges the defendant with the crime of possession of a weapon, specifically a firearm, with a purpose to use it unlawfully against the person of another. The statute on which this count of the indictment is based reads in pertinent part as follows:

Any person who has in his possession any firearm with the purpose to use it unlawfully against the person of another is guilty of a crime.

In order for you if find the defendant guilty of this charge the state has the burden of proving beyond a reasonable doubt each of the following four elements: First, that there was a firearm; second, that the defendant possessed the firearm; third, that defendant possessed the firearm with a purpose to use it against another person; fourth, that defendant's purpose was to use the firearm unlawfully.

A firearm means any handgun. And handgun specifically





means any pistol, revolver, or other firearm originally designed or manufactured to be fired by the use of a single hand. Firearm also includes in its definition rifles, shotguns, machine guns, automatic and semiautomatic rifles or any gun, device or instrument in the nature of a weapon from which may be fired or ejected any solid projectible ball, slug, pellet, missile, or bullet, or any gas, vapor, or other noxious things by means of cartridge or shell or by action of the explosive or igniting of flammable or explosive substances.

The second element of this offense is that the defendant possessed the firearm.

The word "possess" as used in criminal statutes signifies a knowing intentional control of a designated thing accompanied by a knowledge of its character. Thus a person must know or be aware that he possessed the item, in this case a firearm, and the person must know what it is that he possess or controls, that is that he controls a firearm. This possession cannot merely be passing control, that is fleeting or uncertain in its nature. In other words, to possess within the meaning of the law the defendant must knowingly procure or receive the item possessed or be aware of his control thereof for a sufficient period of time to have been able to relinquish control if he chose to do so.

A person acts knowingly with respect to the nature of his conduct if he is aware that his conduct is of that nature.





And, as I have just indicated to you, possession must be knowing, that is the person must be aware that his conduct is of that nature.

A person may possess an item even though it was not physically on him at the time of his arrest if the person had in fact at some time prior to his arrest had control and dominion over it.

When we speak of possession we mean a conscious knowing possession. The law recognizes two kinds of possession, they are actual possession and constructive possession.

A person is in actual possession of a particular article or thing when he knows what it is, that is the person has knowledge of its character, and he knowingly has it on his person at a given time. The law recognizes that possession may be constructive instead of actual.

A person who, with knowledge of its character, knowingly has direct physical control over a thing at a given time is in actual possession of it. Constructive possession means possession in which the person does not physically have the property, but though not physically on one's person, he is aware of the presence of the property and is able to exercise intentional control or dominion over it. A person who, although not in actual possession, has knowledge of its character knowingly has both the power and the intention at a







given time to exercise control over a thing, either directly or through another person or persons, is then in constructive possession of it.

The law recognizes that possession may be sole or joint. If one person alone has actual or constructive possession of a thing possession is sole; if two or more persons share actual or constructive possession of a thing possession is joint, that is if they knowingly share control over the article.

The third element that the state must prove beyond a reasonable doubt is that the defendant's purpose in possessing the firearm was to use it against another person.

Purpose is a condition of the mind which cannot be seen and can only be determined by inferences from conduct, words, or acts. In determining the defendant's purpose in possessing a firearm you may consider that a person acts purposely with respect to the nature of his conduct or a result of his conduct if it is the person's conscious object to engage in conduct of that nature or to cause such a result. That is, a person acts purposely if he means to act in a certain way or to cause a certain result. A person acts purposely with respect to attendant circumstances if the person is aware of the existence of such circumstances or believes or hopes that they exist.

The defendant's purpose or conscious objective to use



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the firearm against another person may be found to exist at any time he is in possession of the object and need not have been defendant's original intent in possessing the object.

The fourth element that the state must prove beyond a reasonable doubt is that the defendant had a purposes to use the firearm in a manner that was prohibited by law. I have already defined purpose for you. The mental element of purpose to use a firearm unlawfully requires that you find that the defendant possessed the firearm with the conscious objective, design, or specific intent to use it against the person or property of another in an unlawful manner as charged in the indictment and not for some other purpose.

In this case the state contends that the unlawful purpose in possessing the firearm was to threaten Mr. Antonio Saraiva, to commit robbery of him, and or to inflict serious bodily injury upon Antonio Saraiva. The defense, on the other hand, denies, the defendant denies that he had possession of the weapon at all, and therefore did not possess it for any purpose.

You must consider your own notions of the unlawfulness of --

Let me start over.

You must not consider your own notions of the unlawfulness of some other undescribed purpose of the defendant but, rather, must consider whether the state has proven the







specific unlawful purpose charged. The state need not prove which specific completed crime the defendant intended to commit using the firearm. The unlawful purpose alleged by the state may be inferred from all that was said and done and from all of the surrounding circumstances of this case.

If you are satisfied beyond a reasonable doubt that the state has proven each of the elements of this offense as I have defined them, then you must find the defendant guilty.

However, if you find that the state has failed to prove beyond a reasonable doubt any one of the elements of this offense as I have defined them then you must find the defendant not guilty.

Fifth count of the indictment charges that on January 22nd, 1996, in the City of Elizabeth, that the defendant Antonio -- Marvin Mathis did knowingly possess a handgun without having first obtained a permit to carry the same as required by law. That is, this is the charge of unlawful possession of a handgun.

The pertinent language of the statute upon which this count of the indictment is based reads as follows:

Any person who knowingly has in his possession any handgun without first having obtained a permit to carry same is guilty of a crime.

The crime with which the defendant in this case is charged with having committed contains three essential elements, all of which the state must prove beyond a reasonable





doubt: First, that there was a handgun; second, that the defendant knowingly possessed the handgun; third, that the defendant didn't have a permit to possess such a weapon.

A handgun is any pistol, revolver, or other firearm originally designed or manufactured to be fired by the use of a single hand.

The second element is that the defendant knowingly possessed the handgun. And I have already defined knowing possession for you in the last count. The last count of the indictment we talked about was possession of a weapon for unlawful purpose. An element of that offense was knowing possession. Knowing possession also applies with the same definition to this offense. So that the second element of this charge is that the defendant knowingly possessed a handgun.

The third element that the state must prove is that the defendant didn't have a permit to possess such a weapon.

If you find that the defendant knowingly possessed the weapon, and that there is no evidence that the defendant had a valid permit to carry such a weapon, then you may infer if you think it appropriate to do so based on the facts presented that the defendant had no such permit. Note, however, that as with all other elements the state bears the burden of showing beyond a reasonable doubt the lack of a valid permit, and that you may apply the inference only if you feel it appropriate to do so under all the facts and circumstances.



If any one of the elements of the crime has not been proven to your satisfaction beyond a reasonable doubt your verdict must be not guilty. If, on the other hand, you are satisfied beyond a reasonable doubt that the defendant knowingly possessed the handgun without a valid permit, then your verdict must be guilty.

I had indicated to you earlier that I would be charging you with respect to the idea, the concept of accomplice liability, that is liability for another's conduct.

Accomplice liability, as it pertains to this particular case, is relevant in your consideration of the first two counts of the indictment, that is murder and robbery. The instruction that I will be giving you now are with respect to this legal concept of liability for another's conduct, also referred to as accomplice liability.

The state contends as an alternative to the allegations of the indictment that the defendant was the principal who committed the offenses of murder and robbery that the defendant is legally responsible for the criminal conduct of another person, that is Antwan Harvey, in violation of law which reads in pertinent part as follows:

A person is guilty of an offense if it is committed by his own conduct or by the conduct of another person for which he is legally accountable, or both. A person is legally accountable for the conduct of another person when he is an







accomplice of such other person in the commission of the offense. A person is an accomplice of another person in the commission of an offense if, with the purpose of promoting or facilitating the commission of the offense he, (A) solicits such other person to commit it, and or (B) aides or agrees or attempts to aid such other person in planning or committing it. This provision of the law means that not only is the person who actually commits the criminal act responsible for it, but one who is legally accountable as an accomplice is also responsible.

Now, this responsibility as an accomplice may be equal and the same as he who commits, actually committed the crime, or there may be responsibility in a different degree, depending upon the circumstances as you find them to be. What that means is that a person may be guilty as an accomplice to the same degree as the person who is the principal who committed the offense, or a person may be guilty as an accomplice to a lesser degree than the person who committed the offense.

I will be discussing this with you in more detail.

But in this particular case what we will be talking about is the concept that the defendant is guilty of the crime of murder as an accomplice to Antwan Harvey.

You will be considering the elements of the offense of murder, and you will be considering my instructions as accomplice to murder. But as you will remember, when I



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discussed the offense of murder, we talked about murder, we talked about aggravated manslaughter, and we talked about reckless manslaughter. A person may be quilty as an accomplice of the same offense which the principal committed, or based upon the circumstances, and I will be describing that to you later, may be guilty as an accomplice of the lesser included That is, you may determine that one person, the principal, acted with requisite mental state to commit a murder, but the accomplice had a different mental state, that his mental state supported, supports in your determination that he acted as an accomplice to commit lesser offense of 11 aggravated manslaughter or reckless manslaughter.

Similarly, that could apply to the crime of robbery. You may find that the principal committed the crime of robbery because you are satisfied those elements of that offense took place, were proven to your satisfaction beyond a reasonable doubt, and that sufficient proofs to find beyond a reasonable doubt that it was first degree robbery, but your consideration of the accomplice is that his participation was not to the same degree as the principal's, that is the principal committed a first degree robbery, but that the accomplice committed a second degree robbery.

Now, having said that you can consider that the accomplice committed the lesser I don't mean to exclude that you cannot consider that the accomplice also committed the

greater offense. You may consider that both the principal and the accomplice committed the offense and that both are responsible to the same degree, that is both committed the murder, both committed first degree robbery, or one or the other.

So that the idea of accomplice liability essentially means that you must consider the accomplice status separately as to each of the offenses.

Let me get back to the instructions with respect to accomplice liability.

In this case the state alleges that the defendant is equally guilty of the crimes committed by Antwan Harvey because the defendant acted as his accomplice with the purpose that that specific crime charged be committed.

In order to find the defendant guilty of the specific crimes charged the state must prove beyond a reasonable doubt each of the following elements: First, that Antwan Harvey committed the crimes of murder and robbery in the first degree And I have already described those crimes to you. Second, that this defendant, Mr. Mathis, solicited Antwan Harvey to commit those offenses, or he did aid or agree or attempt to aid Antwam Harvey in planning or committing them. Third, that this defendant's purpose was to promote or facilitate the commission of the offenses. Fourth, that this defendant possessed the criminal state of mind that is required to be proved against





1 | the person who actually committed the criminal act.

Remember that one acts purposely with respect to his conduct or a result thereof if it is his conscious object to engage in conduct of that nature or to cause such a result.

Solicit means to strongly urge, suggest, lure, or proposition. Aid means to assist, support, or supplement the efforts of another. Agree to aid means to encourage by promise of assistance or support. Attempt to aid means that a person takes substantial steps in a course of conduct designed to or planned to lend support or assistance in the efforts of another to cause the commission of a substantive offense.

If you find that the defendant with a purpose of promoting or facilitating the commission of the offenses solicited Antwan Harvey to commit them, and or aided or agreed or attempt to aid him in planning or committing them, then you should consider him as if he committed the crimes himself.

Remember, you are considering the two crimes, murder and robbery, separately as it pertains to accomplice status.

To prove the defendant's criminal liability the state does not have to prove his accomplice status by direct evidence of a formal plan to commit a crime. There does not have to be a verbal agreement by all who are charged. The proof may be circumstantial. Participation and agreement can be established from conduct as well as spoken word.

Mere presence at or near the scene does not make one







participant in the crime, nor does the failure of a spectator to interfere make him a participant in the crime. It is, however, a circumstance to be considered with the other evidence in determining whether he was present as an accomplice. Presence is not in itself conclusive evidence of that fact. Whether presence has any probative value depends upon the total circumstances.

To constitute guilt there must exist a community of purpose and actual participation in the crime committed. While mere presence at the scene of the perpetration of a crime does not render a person a participant in it, proof that one is present at the scene of the commission of the crime without disapproving or opposing it is evidence from which in connection with other circumstances it is possible for the jury to infer that he assented thereto, lent to it his countenance and approval, and was thereby aiding the same. It depends upon the totality of the circumstances as those circumstances appear from the evidence.

An accomplice may be convicted on proof of the commission of the crime or of his complicity therein even though the person who it is claimed committed the crime has not been prosecuted, has been convicted of a different offense or degree of offense, or has immunity from prosecution or conviction, or has been acquitted.

Remember that this defendant can be held to be an



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accomplice with equal responsibility only if you find as a fact that he possessed the criminal state of mind that is required to be proved against the person who actually committed the criminal acts. In order to convict the defendant as an accomplice to the specific crimes charged you must find the defendant had the purpose to participate in that particular crime. He must act with a purpose of promoting or facilitating the commission of the substantive crimes with which he is charged. It is not sufficient to prove only that the defendant had knowledge that a person was going to commit the crimes charged. The state must prove that it was defendant's conscious object that the specific conduct charged be committed.

In sum, in order to find the defendant guilty of committing the crimes of murder and robbery as an accomplice the state must prove each of the following elements beyond a reasonable doubt: That Antwan Harvey committed the crimes of murder and robbery; that this defendant solicited him to commit them and or did aid or agree or attempt to aid him in the planning or committing them; that this defendant's purpose was to promote or facilitate the commission of the offenses; that this defendant possessed the criminal state of mind that is required to be proved against the person who actually committed the criminal act.

Remember, you are to consider accomplice separately as



1 to each charge.

If you find that the state has proven each one of the elements as described above beyond a reasonable doubt, then you must find the defendant guilty of murder and robbery in the first degree.

If, on the other hand, you find the state has failed to prove one or more of these elements beyond a reasonable doubt, then you must find the defendant not guilty of the crimes charged.

And with respect to this offense, as with all the offenses, your verdicts must be unanimous, which means all twelve of you must agree as to guilty or not guilty.

Now, as I have previously indicated, you will initially consider whether defendant should be found not guilty or guilty of acting as an accomplice of Antwan Harvey with full and equal responsibility for the specific crimes charged, murder and robbery in the first degree. If you find the defendant guilty of the specific charges you need not consider any lesser charges. If, however, you find the defendant not guilty of acting as an accomplice of Antwan Harvey on the specific crimes charged, then you should consider whether defendant did act as an accomplice but with a purpose of promoting or facilitating the commission of some lesser offense than the actual crime charged in the indictment.

Our law recognizes that two or more persons may





participate in the commission of an offense, but each may participate therein with a different state of mind. The liability or responsibility of each participant for any ensuing offense is dependent on his own state of mind and not on anyone else's.

Guided by these legal principles, if you have found the defendant not guilty of the specific crime charged, you should then consider whether the defendant is guilty or not guilty as an accomplice of the lesser charge of aggravated manslaughter and reckless manslaughter as it pertains to the murder charge and robbery in the second degree as it pertains to the robbery charge. And I have already defined those offenses for you.

In considering whether the defendant is guilty or not guilty as an accomplice of these lesser charges remember that each person who participates in the commission of an offense may do so with a different state of mind, and the liability or responsibility of each person is dependent upon his own state of mind and no one else's. Therefore, in order for you to find the defendant guilty of a lesser offense of aggravated manslaughter, or reckless manslaughter, or robbery in the second degree, the state must prove beyond a reasonable doubt that Antwan Harvey committed the crime of murder as alleged in the indictment, or the lesser offense of aggravated manslaughter, reckless manslaughter. As it pertains to the







robbery charge, the stated must prove that Antwan Harvey committed the crime of robbery as alleged in the indictment or the lesser offense of robbery in the second degree. That this defendant, that is Mr. Mathis, solicited Antwan Harvey to commit the lesser offenses, that is either aggravated manslaughter, reckless manslaughter, or robbery in the second degree, and or did aid or attempt to aid — did aid or agree or attempt to aid him in the planning to commit the lesser offenses. That the defendant's purpose was to promote or facilitate the commission of the lesser included offense. And, fourth, that the defendant possessed the criminal state of mind that is required for the commission of the lesser offense.

Remember with respect to this, taking into consideration accomplice status as to lesser offense, you will consider murder as the offense, and whether the defendant would be, if you determine he was not guilty as an accomplice of murder, then whether he is guilty as an accomplice to the lesser offenses of aggravated manslaughter, reckless manslaughter. And then go through the same analysis with respect to robbery. If you found that the defendant was not guilty as an accomplice to the robbery, then you may consider whether he is guilty, whether he is guilty as an accomplice to the lesser offense of robbery in the second degree.

If you find that the state has proven the elements beyond a reasonable doubt, then you will find the defendant







guilty. If, on the other hand, you find the state has failed to prove one or more of the elements beyond a reasonable doubt, then you must find the defendant not guilty.

As I have already mentioned, your determination as to the guilt or innocence of the defendant must be unanimous, all twelve of you must agree upon the decision.

That concludes my instructions as to the principles of law regarding the offenses charged in the indictment.

There is nothing different in the way a jury is to consider the proof in a criminal case from that in which all reasonable persons treat any questions depending upon evidence presented to them. You are expected to use your own good common sense, consider the evidence for only those purposes for which it has been admitted, and give it a reasonable and fair construction in light of your knowledge of how people behave.

It is the quality of the evidence, not simply the number of witnesses that control.

Anything that has not been marked into evidence cannot be given to you in the jury room, even though it may have been marked for identification. Only those things that have been marked in evidence will be given to you.

Very shortly you will go to the jury room to commence your deliberations. You are to apply the law as I have instructed you to the facts as you find them to be for the purpose of arriving at a fair and correct verdict. The verdict



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must represent the considered judgment of each juror and must be unanimous as to each charge. This means that all of you must agree if the defendant is guilty or not guilty of each charge.

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It is your duty as jurors to consult with one another and deliberate with a view to reaching an agreement, if you can do so without violence to individual judgment. Each of you must decide the case for yourself, but do so only after an impartial consideration of the evidence with your fellow jurors. In the course of your deliberations do not hesitate to re-examine your own views and change your opinion if convinced it is erroneous, but do not surrender your honest conviction as to the weight or effect of evidence solely because of the opinion of your fellow jurors or for the mere purpose of returning a verdict.

You are not partisans, you are judges, judges of the facts.

with respect to each charge you may return a verdict of either not guilty or guilty. But, as I have indicated, you must be unanimous as to the determination with respect to each charge, all twelve must agree.

I am going to have the court clerk distribute to you at this point a verdict sheet. The verdict sheet is something that I have prepared, and it is not evidence in this case, it is not to be considered as evidence in this case. It is also







not a substitute for the instructions which I have provided you. Those instructions must be considered in their entirety. It is a form that I have prepared for your use to review and have with you in the jury room to insure that you covered all of the charges that you must take into consideration, make sure that you are reporting a verdict with respect to all of the charges you must consider. It is also a guide, it's a guide for that use. It's also a guide that your foreperson is going to be able to use, because when you are brought back into the courtroom having reached a verdict the foreperson will have to tell us what the verdict is, and this verdict sheet will enable the foreperson to tell us what the verdict is. So remember, it's only a guide. It's not evidence and it's not a substitute for my instructions.

But I am going to have the clerk distribute it to you now, let you look at it. I will go over it with you, and ask you to pass it back.

(Pause in the proceedings.)

THE COURT: First of all, you will note at the top simply indicates the name of the case, the indictment number, and the fact this is a verdict sheet.

Let me also point out before I go through the sheet with you that I have numbered the various items contained on this sheet with a numbering system. That relates to the count of the indictment.



So that count one of the indictment was murder. The questions and topics on the verdict sheet that relate to murder are 1A through D.

Robbery is the second count of the indictment. The second item on this sheet are number two on the sheet, and the questions that relate to number two, 2A and 2B, robbery, goes that way, tracking the count numbers as they appear in the indictment.

That is not in any way an instruction to you in what corder you are to consider the charges. That is entirely up to you. You can organize your deliberations and discussions in any fashion you wish. The verdict sheet, as I said, is not intended to be a guide to you in that regard to require you to consider the charges in any particular fashion. It's up to you to conduct your discussions in any way you feel comfortable doing so. I have simply used the numbering system to relate to the numbers as they, that they are in the indictment so that when the verdict is returned to the court, and the foreperson is reading the verdict, it will be read in that order. So that the first thing that will be addressed is the first count of the indictment, down to count five of the indictment. That's really the only reason for the numbering system that I have.

Not to direct you as to how to conduct your deliberations.

Having said that, let me remind you, however, when we get to count three, remember count three, felony murder, and



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remember there has to be a felony in order for there to be a felony murder. So that it is necessary -- you cannot find a person guilty of a felony murder without first having found that person guilty beyond a reasonable doubt of the felony. So let me get to that as we get to count three.

Count one, as I mentioned, is the murder charge. The notes that appear in parenthesis are for your guidance, but they are not a substitute for the instruction I gave you.

Instructions must be considered in their entirety.

But you will consider with respect to the murder charge questions 1A and 1B. 1A relates to that portion of the murder charge which addressed purposely or knowingly causing the death of the victim. 1B relates to the murder charge, as my instruction described, purposely or knowingly causing serious bodily injury upon the victim resulting in death. And your choices with respect to this, these two questions, is either not guilty or guilty. You must be unanimous.

If your decision is not guilty on 1A and 1B, you will then go on to consider the lesser included offense of aggravated manslaughter, which is 1C. If your decision is guilty on 1A or 1B, or both of them, and that's, those are the possibilities -- you could be guilty of 1A, guilty on 1B, guilty on both. Then you will not consider the lesser included offense of aggravated manslaughter, question 1C.

But if you have gotten to 1C, if it is appropriate for



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you to have considered 1C and you find the defendant guilty of 1C, aggravated manslaughter, then you go on to consideration of other offenses. There is no need then to consider 1D. But if you have decided that the defendant is not guilty of 1C, aggravated manslaughter, you then will go on to consider reckless manslaughter, which is question 1D.

That's all spelled out for you. As you read through the form you will see that it instructs how you are to go through it. Let me also remind you that with respect to the offense of murder, I have instructed you both on the charge of murder as the defendant was the principal who committed the offense and accomplice liability. So you are to consider, you may consider defendant's culpability as either the principal or accomplice with respect to the charge of murder.

And if you will turn the page, page two, also that applies to count of robbery. You will consider under the robbery count whether the defendant is guilty of the offense of robbery. If you have determined that he is not guilty of robbery, you don't have to consider, you will not consider questions in 2A and 2B, you will just go on to three, or whatever other question you choose to go on to. But if the defendant is guilty of robbery, then you would consider the other two questions, question set forth 2A if guilty of robbery, did defendant purposely inflict or attempt to inflict serious bodily injury on Antonio Saraiva, consider question 2B





if guilty of robbery was the defendant armed with and or threatened the immediate use of a deadly weapon. Those are the two questions that will take your consideration to robbery in the first degree. Either of those two would elevate the crime to a robbery of the first degree.

Question three addresses the felony murder. And what is important for you to remember, as I have noted, is that there must be a determination of guilt on the robbery before considering the felony murder.

Question four and five are the weapons related offenses; four is the possession of a firearm for unlawful purpose, and five is the unlawful possession of a handgun.

All right. As I said, you will have a copy of this verdict sheet with you in the jury room. It will assist you in making sure you covered everything you have to cover. It will, I hope, assist also your foreperson in reporting the verdict to us.

All right. I will ask that you pass the forms back down so the clerk can collect them.

Let me discuss with you the matter if you have any questions or need further instructions during your deliberations.

If that situation should arise, if you do have a question or you feel that you need further instructions from me, please write your question or your request on a piece of





paper, knock on the jury room door, one of the sheriff's officers will answer the door, and you can hand the question to the person. While you are deliberating, that's the way you communicate with the court: You write out whatever the request is, knock on the door and give it to the officer. Don't just open the door and come back out into the courtroom. You have to communicate in writing, and you have to wait for somebody to answer the door for you. If you do have a question, or you feel the need for some additional instructions, write it out, but don't indicate in your note what, where you stand or what your count is, what the vote may be on any particular count of the indictment. That's not something that should be contained in the question or your request for additional instructions.

When you have a verdict, you communicate with the court the same way: You knock on the door, one of the officers will answer the door, you will tell the officer that you have a verdict. You don't tell the officer what the verdict is, and you don't give the officer the verdict sheet. The foreperson will keep the verdict sheet. And you will be brought into court then so that we can receive the verdict.

All right. Counsel wish to be heard?

MR. FLORCZAK: Yes, your Honor.

MR. KOLANO: Yes, your Honor.

(PROCEEDINGS AT SIDE BAR).

MR. FLORCZAK: Maybe I missed accomplice testimony,



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- CHARGE -
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     charge regarding accomplice testimony.
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              THE COURT: We did. We didn't discuss what to do with
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     that. Okay.
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              MR. FLORCZAK: I would consider the court giving that
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     charge.
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              MR. KOLANO:
                          Okay.
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              THE COURT: I don't have that charge with me.
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     to get it.
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              MR. FLORCZAK: I don't know. See, this may be a
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    little old.
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              THE COURT: I believe this is it. 4100, the old model
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     charge.
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              MR. FLORCZAK: I just note word accomplice is not
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     used.
              THE COURT: I am going to talk about co-defendants,
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     really what they were co-defendants, Diggs cousins.
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              MR. KOLANO: Yes.
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              THE COURT: I will mention that it applies to both of
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     them.
            Okay.
              MR. KOLANO: I have a few things.
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              One, I will request flight charge. They ran from the
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             That certainly indicates, we didn't bring that up, but
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     scene.
     I think it's there, so I am requesting a flight charge.
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              THE COURT: You don't happen to have flight with you.
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     Do you?
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MR. KOLANO: No. But if you want it, I can get it.

THE COURT: No. I mean I didn't bring it out with me.

MR. KOLANO: No. I am sorry.

On robbery, since it also involves an attempt, I would ask that you advise them specifically that it is not a necessary element that anything be actually taken, if you find that the robbery occurs in the course of attempt, and also there need not be asportation, nothing has to be taken and removed.

THE COURT: Carrying away, isn't that what that means?

MR. FLORCZAK: I thought the court had explained.

THE COURT: I talked about attempt. I will go back.

If you say attempt to commit a robbery means that doesn't have
to be a completed act of actually having something taken,
that's what attempt means.

MR. KOLANO: Let's see. In terms of accomplice of robbery there is the state versus Williams, which -- I am sorry. It is necessary -- a person may be guilty of a first degree crime of armed robbery though the possession of a weapon by his accomplice. It is necessary, however that the unarmed accomplice to the robbery be shown to have had the purpose to promote or facilitate robbery with the use of a firearm.

I would ask that also be given, so the jury be clear, if the gun is with Antwan Harvey that this defendant can still be guilty as accomplice of the armed robbery.





Felony murder, when your Honor deviated from the model charge. Marvin Mathis did the murder, that's true. But in the context of felony murder. Our position is he did the killing of him. And I am afraid, especially given the felony murder, this jury thinks there needs to be a felony murder.

THE COURT: A felony killing.

MR. KOLANO: I would ask you to clarify as relates to felony murder not necessary that it actually be murder. May be applicable to aggravated manslaughter, manslaughter, or even unintentional or accidental killing.

THE COURT: That's what the charge says.

MR. FLORCZAK: I thought that clearly stated in the charge. I would object to any further going into it. Clearly indicates it doesn't have to be murder.

MR. KOLANO: But I think, just by instinct, when the judge gave the instruction it indicated murder. State's position that Marvin Mathis --

THE COURT: I talked about felony murder, and then I might have misled them.

MR. FLORCZAK: Judge, before he gives any other, I might as well put in my objection to the prior one, about the weapons. I think that was covered. He asks additional charge and citing a case.

MR. KOLANO: This also said that robbery is a predicate crime to see if the defendant committed the murder.



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So, again, just a reference to murder.

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Okay. You said it was the state's position that

Marvin Mathis was the principal in the robbery. And gave
alternate positions. State's position is that Antwan and

Marvin are both principal and both accomplices of each other.

I don't want them to think that our position is kind of
wishy-washy, because our position, as it was in my summation,
both went up there. And then obviously Diggs cousins would be
accomplices to both. But I would ask you to clarify our
position, both are principals to robbery and accomplices to
each other as relates to other. Our position is Marvin shooter
as relates to murder.

That's it.

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MR. FLORCZAK: How late will you keep them here?

MR. KOLANO: My position, let the jury decide. As long as they don't send out a note saying we want to go home we should work late. Especially in this courtroom tomorrow will be logistical Hades.

THE COURT: We have done that here before, having sentencing and the jury at the same time. I mean the way it's done they use the jury room, and the inmates who are being sentenced are brought over in small groups like two or three at a time. And attorneys speak with them in the courtroom. And then they are sentenced and taken out. So that we don't have too many people in custody in the room in case they knock on

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- CHARGE -73 the door we can get people out quickly. But it slows sentencing, because we bring them over two at a time. Probably around four o'clock kind of see what they want to do. MR. FLORCZAK: I just want to make -- I have appointments scheduled 5:30, six o'clock. THE COURT: Four o'clock, then, would be enough time for you to know. If we ask them at four, they say they want to stay, you will know. If they want to go home, you can get to your appointments okay. MR. KOLANO: Accomplice person may be be guilty of first degree --. It is necessary, however, that the unarmed accomplice to the robbery be shown to have a purpose to promote or facilitate robbery with the use of a firearm. I don't know if your Honor's code is up there. 398. Flight charge and felony murder.

It is state's position on the slayer participant that he committed the robbery and the killing, so that it would be sufficient if aggravated manslaughter or even accidental --

MR. FLORCZAK: I think that has been made clear. (SIDE BAR TERMINATED):

THE COURT: All right. Ladies and gentlemen, just a few things that I want to address with you that were pointed

Let me talk about accomplice as it relates to robbery

and the idea that a person can be serving as an accomplice of

out by counsel that may have caused some confusion for you.

another in the commission of a first degree robbery.

A person may be guilty of robbery in the first degree even, even if the accomplice was unarmed, if the principal was armed and if the accomplice had the same, had the, had the purpose to, had as his purpose that the robbery would be committed with the firearm. So a person can --

This is similar to the concept, or the concept of joint possession of a weapon. Although one person may have actual physical possession of the weapon, both persons may have had the same state of mind that the robbery was going to be committed with the firearm. And so that both could be then guilty, principal and the accomplice, guilty of a first degree robbery, even though a person happen to be the unarmed person, the unarmed accomplice.

Also, with respect to felony murder, I had explained to you that it is necessary that the predicate crime, the felony, robbery in this case, be proven to your satisfaction, proved beyond a reasonable doubt, as a prerequisite, that has to be determined first, before you can go on to consider felony murder.

What I do want to repeat for you is with respect to the second part of that phrase, murder. And it does not matter



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that the act which caused death was committed recklessly or unintentionally or accidentally. So that when I am using the term, when I talk about the robbery I mean the robbery with which the defendant is charged, which is count two of the indictment, as the predicate crime, the thing that has to be found first. What I am talking about, the murder, as in the words felony murder, we are not talking about count one of the indictment; we are talking about back to the language of the statute, that while engaged in that predicate crime, that is the commission of the robbery, or the attempt to commit the robbery, or flight after committing the robbery, or attempting to commit it, caused the death of a person other than one of the participants. It does not matter that the act which caused death was committed recklessly, unintentionally, or accidentally./-

With respect to the testimony, I talked about testimony of other persons who did testify with respect to this case. I want to address now for the moment the testimony of the two Miss Diggs who testified, that is April Diggs and Renee Diggs. Each of those persons are, were co-defendants of the defendant Marvin Mathis with respect to this case, each has admitted their guilt and testified on behalf of the state.

The law requires that the testimony of such a witness be given careful scrutiny. In weighing the testimony of a co-defendant, therefore, you may consider whether she has a



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special interest in the outcome of the case and whether her testimony was influenced by the hope or expectation of any favorable treatment or reward, or by any feelings of revenge or reprisal. If you believe the witness to be credible and worthy of belief you have a right to convict the defendant on her testimony alone provided, of course, that upon a consideration of the whole case you are satisfied beyond a reasonable doubt of the defendant's guilt.

Also with respect to this case, there has been testimony from which you may infer that the defendant fled shortly after the alleged commission of the crime. The defendant denies that his leaving the scene constituted flight from the commission of a crime. The question of whether the defendant fled after the commission of the crime is another question of fact for your determination.

Mere departure from a place where a crime has been committed does not constitute flight.

If you find that the defendant fearing that an accusation or arrest would be made against him on the charge involved in the indictment took refuge in flight for the purpose of evading accusation or arrest on that charge then you may consider such flight in connection with all the other evidence in the case as an indication or proof of consciousness of guilt. Flight may only be considered as evidence of consciousness of guilt if you should determine that the

defendant's purpose in leaving was to evade accusation or arrest for the offense charged in the indictment.

Next order of business is going to be the selection of those two persons who are going to be the alternates. That is done by a random drawing.

MR. KOLANO: May we approach off the record?

THE COURT: Yes.

(Side bar off the record).

THE COURT: I want to get back to the crime of robbery. I indicated to you that one of the elements of the offense of robbery was that the defendant was in the course of committing a theft. In this connection you are advised an act to be in the course of committing a theft if it occurs in an attempt to commit the theft, during the commission of the theft itself, or in immediate flight after the attempt or commission.

I may have stumbled over that or not correctly read that to you when I was charging you with respect to this. But so that's why I repeated that particular aspect. I don't want you to take my repeating of it as placing any emphasis on it. It is simply called to my attention that I may have misspoken or given some other impression as to what is involved with respect to robbery.

That phrase in the course of committing a theft means in an attempt to commit the theft, during the commission of the theft itself, or in immediate flight after the attempt.







the alternates.

Now we will get on to select alternates. I was beginning to explain to you the procedures that are employed. All fourteen of your names are on the slips of paper that were used originally when the jury was selected. Slips of paper are back in the back in the wooden box. One of the officers is going to draw two names out. Those two persons are going to be

If your name is selected as an alternate I would ask that you go back into the jury room, pick up any personal items that you may have, come out, and then take a seat in the courtroom in the audience area, somewhere close to the jury box.

I would ask the officer to draw two names.

OFFICER: Carmen Dasilva.

THE COURT: Juror number 365, in seat number ten.

OFFICER: Leonard Farmer, juror 284.

THE COURT: Juror in seat number three.

The alternates are not excused as jurors. Alternates will be kept in separate location, in case it does become necessary to substitute one or both of the alternates for other jurors during the course of the deliberations.

I, therefore, instruct the two alternates not to discuss the case between themselves, not to engage in discussions of the case with any other person. If it is necessary to substitute an alternate during deliberations, I

will have further instructions for the jurors and the alternates at that time.

We will have the alternates in court if there happens to be any question from the jury or request for additional instructions. Also, when we have a verdict in the case we will be sure that the alternates will be present for the verdict.

The juror in seat number one, Miss Spencer Franklin, remains there. Because you sit in seat number one you get to be the foreperson of the jury. It's not a particularly difficult task. Let me just explain to you what it does mean.

It is the responsibility of the foreperson to lead the deliberations and also to tell us what the verdict is when you have reached a verdict and come back out into court. Let me just explain that process to you.

When you have a verdict, as I have explained, knock on the door, one of the officers will answer, you will simply say we have a verdict, but not what the verdict is. Foreperson will have the verdict sheet on which the verdict will have been noted as to what the jury's decision was on each of the questions that's appropriate to answer. You will be brought back into the courtroom.

When you come in I would ask that you take the seats that you are in. Any time you are brought back into the courtroom take the same seats you presently have, that is seat number three and seat number ten will be left vacant. Don't





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The clerk will ask the foreperson if a verdict has been reached, and if the verdict is unanimous. And then using the verdict sheet as a guide go question by question through the verdit sheet and ask the foreperson to say what the verdict was on a particular count or question.

Once the foreperson reports the verdict, if requested, the jurors may be polled, and that is simply ask if they agree with the verdict as it was read by the foreperson, and each of you will be asked that question Do you agree with the verdict as it was read, and you will indicate, yes or no, that you either do agree with it as the foreperson read it or you don't agree with it.

Now, in a few moments I am going to excuse you to the jury room.

We are beyond the point at which we would normally have taken a break, so before you start your deliberations I am going to give you the opportunity for a break. I will ask that you go to the jury room. For those of you who do want to go on a break, one of the officers will come back to the jury room, and get those of you who want to go on a break and escort you down to the coffee shop, people who want to buy something, and for those who want to go on to smoke. I don't know if there are any smokers, but anybody wants to continue on outside the officer will escort you outside for a smoke break. If you do





buy something you will buy it and bring it back to the jury room. For those of you who don't want to go on a break that's okay, you can stay in the jury room, but you should not discuss the case until we have all twelve of you back together again. So any time you are on this sort of a break and twelve of you are not together, you should not be having small group discussions. When all twelve of you get back in the room, what we will do we will send the evidence in to you, all those things that have been received in evidence will be brought to you, a pad and some pencils will be brought to you for whatever, and a verdict sheet. And then the clerk and some of the officers will bring those things. When they leave you can then begin your deliberations.

So I am going to excuse you to the jury room. And one of the officers will -- one moment. I have to swear in the officers. Just wait, if you would, one moment while I swear in, have the clerk swear in the officers, and then go to the jury room and wait for the officer to get those of you who want to go on a break.

(Court Officers sworn):

THE COURT: Ladies and gentlemen, you may go to the jury room.

(Jury withdrew from the courtroom - 11:35).

THE COURT: Officers, anybody that wants to go on a break can go out now. I will ask counsel if you want to look





- CHARGE -82 through the evidence boxes now, make sure everything is in 1 order. 2 (Both counsel and the clerk checking the exhibits). 3 MR. KOLANO: For the record, Mr. Florczak and the 4 court clerk and I just went through all of the evidence prior 5 to its submission to the jury, and it is all in perfect order. 6 It's satisfactory for the jury. 7 8 MR. FLORCZAK: That's correct. (Court in Recess) 9 (Jury knocked on the door with a verdict, 1:13 p.m.) 10 THE COURT: Good afternoon. Be seated. 11 12 We have been advised that the jury has reached a verdict. Will you please bring out the jurors to the jury box 13 and have the alternates brought into the courtroom.) 14 15 (Jury seated in the jury box in the courtroom - 1:40) THE CLERK: Would the foreperson of the jury please 16 17 rise. Madam foreperson, has the jury reached a verdict? 18 FOREPERSON: Yes, sir. 19 Is the verdict unanimous? 20 THE CLERK: FOREPERSON: Yes, sir. 21 THE CLERK: With respect to count one, question 1A, 22 murder, purposely or knowingly caused the death of Antonio 23 Saraiva, how does the jury find? 24 FOREPERSON: Not guilty. 25

- CHARGE -83 THE CLERK: On question 1B, murder, purposely or 1 2 knowingly caused serious bodily injury upon Antonio Saraiva resulting in death, how does the jury find? 3 FOREPERSON: Guilty. 4 On count two, robbery, how does the jury THE CLERK: 5 find? 6 7 FOREPERSON: Guilty. THE CLERK: With respect to the question in 2A, if 8 guilty of robbery, did the defendant purposely inflict or 9 attempt to inflict serious bodily jury upon Antonio Saraiva, 10 how does the jury find? 11 12 FOREPERSON: Yes. THE CLERK: Question 2B, was the defendant armed with 13 and or threatened immediate use of a deadly weapon, how does 14 15 the jury find? 16 FOREPERSON: Yes. Question, count three, felony murder, how 17 THE CLERK: does the jury find? 18 FOREPERSON: Guilty. 19 Count four, possession of a firearm for an 20 THE CLERK: unlawful purpose, how does the jury find? 21 FOREPERSON: 22 Guilty. 23 THE CLERK: Count five, unlawful possession of a 24 handgun, how does the jury find? 25 FOREPERSON: Guilty.

THE COURT: Thank you. You may be seated.

Counsel wish to have the jury polled.

MR. FLORCZAK: Yes, your Honor.

THE COURT: I am going to ask the clerk to please poll the jury.

THE CLERK: Members of the jury, when your name is called indicate your individual response to this inquiry: Did you vote for the verdict announced by your foreperson?

THE COURT: The foreperson of the jury, would you inquire of the foreperson.

(Jury polled - Unanimous Verdict)

THE COURT: Ladies and gentlemen, with the return of your verdict your service in connection with this case is completed. Your jury, your jury service has come to an end.

Before releasing you I want to express on behalf of myself, my staff, the persons involved in this case our thanks and appreciation to you for your diligent effort in the case, your attention, all of the work that you obviously put into it. Generally for your service.

I want to express a particular thanks to the alternates. I know that that is a difficult position to serve in, to be a member of the jury, and then not participate in the decision. I know that from a personal experience. That happened to me once. As a member of the jury I got to be an alternate, and I know it is a little frustrating. But I hope

you do understand that it is important, you were an important part of the jury, and being present as alternates was, was important to the entire process.

I have been instructing all of you throughout not to engage in any discussions with respect to this case. That has been an instruction which was very important, because it was important that when you began your deliberations you did so with an open mind and were able to discuss the evidence among yourselves.

Obviously, my instruction that you not talk about the case no longer applies, with one exception, and that is you are not to discuss the case with the people who were directly involved in the case. The attorneys involved in the case, the witnesses in the case, the parties to the case, are not to discuss the case with you nor you with them. But otherwise you are free to discuss the case with others. You want to talk with your friends and relatives and neighbors about the case, you may do so.

Also, you are certainly also free to read anything that may appear in the newspaper with respect to the case. That prohibition no longer applies.

With respect to talking about the case, I would ask, ask you to keep one thing in mind. I don't know what went on in the jury room. I would not ask or be allowed to ask you what happened there. That is, what takes place in the jury





room is for the jurors to know what took place. Sometimes what's said in there is said among yourselves with an idea that what you are saying or what someone else is saying is done in confidence. And I would suggest that you might want to continue to respect those confidences, if something was said to you confidentially; if you heard something that was confidential, don't repeat it. And obviously I must leave it to your good judgment. But think about this. If you would feel uncomfortable right now standing up and saying something about what went on in the jury room in front of all of your other jurors and everyone else in the courtroom, maybe you should not talk about to your friends and neighbors about that same thing. Respect the confidences of your fellow jurors.

As said, you are not permitted to talk with people connected with this case, and you have no obligation to talk to anybody. So if someone were to approach you and ask to talk about the case, even if they had nothing to do with the case and you chose not to talk about it that's your right. You are not obligated to talk about it, you are simply permitted to talk about it.

I don't get a chance to ask questions of you, or to have you ask questions of me. I will, nonetheless, pass along what I am sure are the obvious points that you would like to have the jury manager here, which is you don't get paid enough and there is not adequate parking, and I know both of those are



true and I will pass those two comments along since that's what all jurors believe to be true, and somehow or other we never seem to be able to resolve either one of those particular problems.

Based upon the verdict that you rendered in this case, Mr. Mathis is in custody, and Mr. Mathis will be before the court to be sentenced in this case.

Do you know the date of the sentence?

THE CLERK: Yes. 8/14/98.

THE COURT: August 14th Mr. Mathis will be before the court to be sentenced.

All right. I would once again with our thanks and appreciation excuse you, and you will probably not hear from the jury manager again for another three years, but perhaps we will have an opportunity to see you back hear again after your three years are up.

Ladies and gentlemen, you are excused. Thank you.

(Jury withdrew from the courtroom.)

THE COURT: All the jurors have left the courtroom.

As I have just indicated, sentencing date in this matter will be August 14th.

MR. KOLANO: I do ask bail be revoked at this point.

THE COURT: Bail for Mr. Mathis is revoked. Thank you, counsel.

Mr. Kolano when you and your staff finished checking

- CHARGE -8.8 the exhibits, if you will acknowledge receipt of that for the record. I will do that, your Honor. MR. KOLANO: THE COURT: Thank you. MR. KOLANO: For the record, I acknowledge receipt of all the evidence. (PROCEEDINGS TERMINATED) ∢10 

CHARGE -CERTIFICATE I, B. PETER SLUSAREK, C.S.R., License No. an Official Court Reporter of the State of New Jersey, do hereby certify the foregoing to be prepared in full compliance with the current Transcript Format for Judicial Proceedings and is a true and accurate non-compressed transcript to the best of my knowledge and ability. Date: March B. PETER SLUSAREK, C.S.R., XIOO291 Official Court Reporter Union County Courthouse, Elizabeth, New Jersey,